

Express Mail Label No.: EF371230756US

Date of Deposit: July 19, 2001

Attorney Docket No. 15966-615 (CURA-115)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Prayaga and Shimkets
ASSIGNEE : CURAGEN CORPORATION
SERIAL NUMBER : 09/732,436 EXAMINER : Not Yet Assigned
FILING DATE : December 7, 2000 ART UNIT : 1635
FOR : NOVEL POLYPEPTIDES AND POLYNUCLEOTIDES ENCODING SAME

Assistant Commissioner for Patents
Washington, D.C. 20231

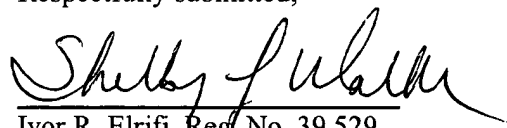
July 19, 2001
Boston, Massachusetts

RESPONSE TO NOTICE OF INCOMPLETE REPLY

In response to the March 7, 2001 Notice to File Missing Parts and the subsequent June 11, 2001 Notice of Incomplete Reply, Applicants file this Response in order to incorporate the substitute sequence listing, filed herewith, into the U.S.S.N. 09/732,436 patent application. Applicants concurrently submit herewith a substitute computer readable form (CFR) copy of the "Sequence Listing" and a statement that the content of the paper and computer readable copies are the same and include no new matter, in compliance with 37 C.F.R. §§ 1.821-1.825.

Applicants also file concurrently herewith a petition for a three (3) month extension of time, together with a check for \$445.00 to cover the fee pursuant to 37 C.F.R. § 1.17(a)(3). With the extension, this amendment is due on or before August 7, 2001. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 15966-615 (CURA-115).

Respectfully submitted,



Ivor R. Elrifi, Reg. No. 39,529
Shelby J. Walker, Reg. No. 45,192
Attorneys for Applicants
c/o MINTZ, LEVIN
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

07-20-01 0300/14

Express Mail Label No.: EF371230756US
Date of Deposit: July 19, 2001

Attorney Docket No. 15966-615 (CURA-115)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Prayaga and Shimkets
ASSIGNEE : CURAGEN CORPORATION
SERIAL NUMBER : 09/732,436 EXAMINER : Not Yet Assigned
FILING DATE : December 7, 2000 ART UNIT : 1635
FOR : NOVEL POLYPEPTIDES AND POLYNUCLEOTIDES ENCODING SAME

Assistant Commissioner for Patents
Washington, D.C. 20231

July 19, 2001
Boston, Massachusetts

TRANSMITTAL

In response to the March 7, 2001 Notice to File Missing Parts and the subsequent June 11, 2001 Notice of Incomplete Reply, attached please find:

- ☒ Response to Notice of Incomplete Reply (1 pg.);
- ☒ Copy of Notice of Incomplete Reply (1 pg.);
- ☒ Petition for Extension of Time (1 pg.);
- ☒ Supplemental Preliminary Amendment (2 pgs.);
- ☒ Substitute Computer Readable Form Copy of "Sequence Listing" (1 diskette);
- ☒ Substitute paper copy of "Sequence Listing" (32 pgs.);
- ☒ Statement in Support of Computer Readable Form Submission under 37 C.F.R. § 1.821(f) (1 pg.);
- ☒ One check (#9561) in the amount of \$445.00 in payment of the extension fee; and
- ☒ Postcard.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at telephone (617) 542-6000.

APPLICANTS: Prayaga and Shimkets
U.S.S.N.: 09/732,436

Please charge any additional fees that may be due, or credit any overpayment of same, to
Deposit Account No. 50-0311, Reference No. 15966-615 (CURA-115).

Respectfully submitted,



Ivor R. Elrifi, Reg. No. 39,529
Shelby J. Walker, Reg. No. 45,192
Attorneys for Applicants
c/o MINTZ, LEVIN
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

TRA 1544171v1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/732,436	12/07/2000	Sudhirdas K. Prayaga	15966-615 (CURA-115)

CONFIRMATION NO. 9940

FORMALITIES LETTER



OC000000006166513

Ivor R. Elrifi, Ph.D.
Mintz, Levin, Cohn, Ferris, Glovsky & Popeo, P.C.
One Financial Center
Boston, MA 02111

Date Mailed: 06/11/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

feul

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE